

TECH CENTER 1600/2900

PTO/SB/21 (08-00)
Approved for use through 10/31/02. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

0 6 2001

plus sign (+) inside this box →

7	o persons ar	Application Number	ion of information unless it displays a valid OMB control number. 09/560,711	
TRANSMITTAL		Filing Date	April 27, 2000	
FORM		First Named Inventor	Benjamin FRYDMAN	
·		Group Art Unit	Benjamin FRYDMAN 1643 To Be Assigned	
(to be used for all correspondence after initial filing)		Examiner Name	To Be Assigned	
Total Number Of Pages In This Submission 6	pages + 2 refs	Attorney Docket No.	376462000400	
ENCLOSURES (check all that apply)				
Fee Transmittal Form		signment Papers an Application)	After Allowance Communication Group	
Fee Attached		wing(s)	Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply	Lice	ensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final	Pet	ition	Proprietary Information	
Affidavits/declarations	Pet	ition to Convert to a	Status Letter	
		visional Application wer of Attorney, Revocation		
		ange of Correspondence Add		
Express Abandonment Request		minal Disclaimer	 2 References with copy of 1449 (1 page) affixed 	
		quest for Refund	Return Receipt Postcard	
Supplemental Information Disclosure Statement - 3 pages	CD	, Number of CD(s)		
Certified Copy of Priority Document(s)	Remarks			
Response to Missing Parts/				
Incomplete Application Response to Missing Parts	•			
under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT				
Firm Morrison & Foerster, LLP, 755 Page Mill Road, Palo Alto, California 94304-1018				
or Individual Name Kimberly A. Bolin, Registration Number 44,546				
Signature //				
Date November 12, 2001				

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 12, 2001

Kathy Farrell

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

DEC 0 6 2001 EST

RECEIVED 3/16

FEB 0 5 2002 PATENT JECHNENT/6462000400

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on November 12, 2001.

Kathy Farrell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Benjamin FRYDMAN et al.

Serial No.: 09/560,711

Filing Date: Apri

April 27, 2000

For:

CONFORMATIONALLY RESTRICTED

POLYAMINE ANALOGS AS DISEASE

THERAPIES

Examiner: To Be Assigned

Group Art Unit: 1643

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

	With the application; accordingly, no fee or separate requirements are required.				
\boxtimes	Within three months of the application filing date or before mailing of a first Office Action				
	on the merits; accordingly, no fee or separate requirements are required.				
	After receipt of a first Office Action on the merits but before mailing of a final Office				
	Action or Notice of Allowance.				
	A fee is required. A check in the amount of * is enclosed.				
	A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached				
	to this submission in duplicate.				
	A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee				
	is believed to be due.				
	After mailing of a final Office Action or Notice of Allowance, but before payment of the				
	issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure				
	Statement, an authorization to charge our deposit account, and a Certification under 37				
	C.F.R. § 1.97(e) are provided herein.				

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicants petitions for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>376462000400</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 12, 2001

Respectfully submitted,

Registration No. 44,546

Morrison & Foerster LLP 755 Page Mill Road

Palo Alto, CA 94304-1018 Telephone: (650) 813-5740 Facsimile: (650) 494-0792